

**APPENDIX I:**  
**NLP++ INTEGRATION WITH A KNOWLEDGE BASE**

The knowledge base may consist of a hierarchy of concepts

5 (CON). Each concept may have a set of attributes (ATTR). Each attribute may have a name and a value (VAL). The value may be a string (STR), number (NUM), boolean (BOOL), pointer to another concept, or some other type. Each ATTR may have multiple values, and each value may have a distinct type.

10 Each concept may also have an associated phrase (PHR) of nodes. A node may be similar to a concept in most respects, except, for example, a node may never be placed directly in the knowledge base hierarchy. Rather, nodes may serve as proxies or references to concepts that are in the hierarchy. Phrases may be used to implement idioms, patterns,

15 samples, rules, unordered sets of concepts or any other information.

The objects discussed above, CON, ATTR, VAL and PHR, may be assigned as types of values of NLP++ variables. These functions may treat nodes as concepts.

The functions in **Table XII** enable accessing and manipulating the

20 objects of the knowledge base. These functions illustrate integrating the NLP++ language with a knowledge base to build a text analyzer.

**Table XII. Exemplary Functions Associated with Accessing and Manipulating Objects of the Knowledge Base.**

	FUNCTION	RETURN TYPE	DESCRIPTION
<b>FETCH OBJECTS</b>			
5	<b>findroot()</b>	CON	Return the root concept of the knowledge base (named "concept").
	<b>findconcept(parent, name)</b>	CON	Find the named concept under the specified parent concept.
	<b>findconcept(parent, num)</b>	CON	Find the <i>num</i> -th concept under the specified parent concept.
	<b>findattr(con, name)</b>	ATTR	Find the named attribute under the specified concept.
	<b>findattrs(con)</b>	ATTR	Fetch the specified concept's list of attributes.
10	<b>attrname(attr)</b>	STR	Fetch the specified attribute's name.
	<b>attrvals(attr)</b>	VAL	Fetch the specified attribute's values.
	<b>findvals(con, name)</b>	VAL	Fetch the values in the specified concept's named attribute.
	<b>numval(con, name)</b>	NUM	Fetch the numeric value of the named attribute.
	<b>strval(con, name)</b>	STR	Fetch the string value of the named attribute.
15	<b>conval(con, attr_str)</b>	CON	Fetch the concept-value of the specified concept's attribute. (The concept-value must be first value).

	<b>attrwithval</b> (con, attr_s, val_s)	BOOL	Determine whether the specified attribute has the specified value.
	<b>inheritval</b> (con, name, hier)	STR	Find the string value of an attribute having the specified name, searching from the concept con up to the concept hier. (A predetermined special value for hier may specify the root of the knowledge base (KB).)
	<b>conceptname</b> (con)	STR	Fetch the name of the specified concept.
	<b>conceptpath</b> (con)	STR	Return the entire path of the specified concept as a string.
5	<b>pathconcept</b> (str)	CON	Fetch the concept specified by the path str.
	<b>wordpath</b> (str)	STR	Fetch the entire path of word-concept for the specified string.
	<b>findwordpath</b> (str)	STR	Find entire path of word-concept for the specified string. (If not present, don't add the word.)
	<b>wordindex</b> (str)	CON	Get index concept that str would be added under.
	<b>findhierconcept</b> (name, hier)	CON	Find the named concept in the sub-hierarchy of the specified concept. (A predetermined special value for hier may specify the root of the knowledge base (KB).)

	<b>dictfindword(str)</b>	CON	Find the named concept in the dictionary hierarchy of the KB.
	<b>attrexists(hier, attr_s, val_s)</b>	BOOL	Determine whether the specified attribute-value pair exists in the specified sub-hierarchy.
	<b>attrchange(hier, attr_s, val_s, new_s)</b>	BOOL	Change all the attributes in the specified hierarchy having the specified name and value to have the specified new string value.
5	<b>down(con)</b>	CON	Fetch the first child of the specified concept.
	<b>up(con)</b>	CON	Fetch the parent of the specified concept.
	<b>prev(con)</b>	CON	Fetch the left or previous sibling of the specified concept.
	<b>next(con)</b>	CON	Fetch the right or next sibling of the specified concept.
	<b>nextattr(attr)</b>	ATTR	Fetch the next attribute in a list of attributes.
10	<b>nextval(val)</b>	VAL	Fetch the next value in a list of values.
	<b>getsvl(val)</b>	STR	Convert the specified value to a string, if possible.
	<b>getstrval(val)</b>	STR	Get string from string-valued val.
	<b>getnumval(val)</b>	NUM	Get number from numeric val.
	<b>getconval(val)</b>	CON	Get concept from concept-valued val.
15	<b>MAKE OBJECTS</b>		

	<b>makeconcept(parent, name, num)</b> <b>makeconcept(parent, name)</b>	CON	Place the named concept under the specified parent concept. If num is non-zero, the named concept becomes the num-th child of the parent. If num is zero or absent, places the named concept at end of the list of children.
	<b>addattr(con, attr_s)</b>	ATTR	To the specified concept, add the specified attribute with no value.
	<b>addsval(con, name, num)</b>	-	Add the specified numeric value as a string to the specified attribute.
5	<b>addstrval(con, name, str)</b>	-	Add the specified string value to specified attribute.
	<b>addnumval(con, name, num)</b>	-	Add the specified numeric value to the specified attribute.
	<b>addconval(con, attr_str, value_con)</b>	-	Add the specified value (value_con) as the value of specified attribute.
	<b>getconcept(parent, name)</b>	CON	Find the named concept under the specified parent. If the named concept does not exist, this functions operates as <b>makeconcept(parent, name)</b> .
10	<b>addword(str)</b>	CON	Add the specified word to the dictionary within the KB, if the word is not already present. Also, fetch the dictionary concept for the word.
<b>MOVE &amp; REMOVE</b>			

	<b>rmconcept(con)</b>	BOOL	Remove (the entire sub-hierarchy of) the specified concept from the KB.
	<b>rmchild(parent, name)</b>	BOOL	Remove the named child of the specified parent concept.
	<b>rmchild(parent, num)</b>	BOOL	Remove the <i>num</i> -th child of specified parent concept.
	<b>rmvals(con, name)</b>	BOOL	Remove the values of the specified attribute.
5	<b>rmval(attr, val)</b>	BOOL	Remove the specified value from the specified attribute.
	<b>rmattrval(con, attr_s, val_s)</b>	BOOL	Remove the specified string value from the specified attribute.
	<b>rmattr(con, name)</b>	BOOL	Remove the named attribute (and its values) from the specified concept.
	<b>rmchildren(con)</b>	BOOL	Remove the children (and phrase) from the specified concept.
	<b>rmword(word_str)</b>	BOOL	Remove the specified word from the KB dictionary.
10	<b>prunephrases(hier)</b>	BOOL	Remove all phrases from the specified sub-hierarchy.
	<b>replaceval(con, name, str)</b>	-	Replace all of the values of the specified attribute with the specified string.
	<b>replaceval(con, name, num)</b>	-	Replace all of the values of the specified attribute with the specified number.
	<b>replaceval(con, attr_str, value_con)</b>	-	Replace the specified attribute's value with the specified value ( <i>value_con</i> ).

	<b>renameconcept</b> (con, name)	-	Rename the specified concept to the specified name.
	<b>renamechild</b> (con, num, name)	-	Rename the num-th child of the specified concept to the specified name.
	<b>renameattr</b> (con, name, new)	-	Rename the specified attribute to the specified name (new).
	<b>moveleft</b> (con)	-	Move the specified concept one to the left in its list.
5	<b>moveright</b> (con)	-	Move the specified concept one to the right in its list.
<b>KNOWLEDGE-BASE PHRASES &amp; NODES</b>			
	<b>findphrase</b> (con)	PHR	Fetch the specified concept's phrase.
	<b>sortphrase</b> (con)	-	Alphabetically sort the specified concept's phrase nodes.
	<b>phraselength</b> (con)	NUM	Get the number of nodes in the phrase of the specified concept.
10	<b>nodeconcept</b> (node)	CON	Get the owning concept of the specified node concept.
	<b>findnode</b> (phrase, name)	CON	Find the first node with the specified name in the specified phrase.
	<b>findnode</b> (phrase, num)	CON	Find the num-th node in the specified phrase.
	<b>listnode</b> (node)	CON	Get the first node in the specified node's list.
	<b>firstnode</b> (phrase)	CON	Get the first node in the specified phrase.
15	<b>lastnode</b> (phrase)	CON	Get the last node in the specified phrase.

<b>makephrase</b> (con, name)	PHR	Create a phrase in the specified concept by making the named node.
<b>addcnnode</b> (con, name)	CON	Make the named node at the end of the specified concept's phrase.
<b>addnode</b> (phrase, name, num)	CON	Make the named node the num-th in the specified phrase.
<b>rmnode</b> (con)	-	Remove the specified node from its phrase.
<b>rmphrase</b> (phrase)	-	Remove the specified phrase from its concept.
<b>rmcphrase</b> (con)	-	Remove the phrase of the specified concept.
<b>renamenode</b> (phrase, name, new)	-	Rename the specified phrase's named node to new.
<b>renamenode</b> (phrase, num, new)	-	Rename the specified phrase's num-th node to new.

## APPENDIX II: RULE-FILE ANALYZER

This appendix defines a text analyzer that a shell may use to read  
5 pass files of a user-built analyzer. This appendix contains files in the order in  
which they are read, the same order in which the rule-file analyzer may be  
executed.

The first file, analyzer.seq, defines the sequence of passes in the  
analyzer. Each line in that file consists of the name of an algorithm for the  
10 pass — for example, "pat," the main pattern-based algorithm. Each line also  
contains data associated with the pass. For example, "retok" refers to the  
retok pass file associated with the third pass.

The rule-file analyzer uses special functions for constructing the  
internal machinery of a text analyzer. Example functions are rfaname(),  
15 rfaop(), rfastr(), rfarulemark(), rfanonlit(), rfanum(), rfanodes(), rfaarg(), rfalist(),  
rfarange(), rfaexpr(), rfaunary(), rfapostunary(), rfaargtolist(), rfapair(),  
rfalittopair(), rfapairs(), rfaelement(), rfanonlitwl(), rfalitel(), rfasugg(), rfaelt(),  
rfarule(), rfarulelets(), rfarules(), rfaactions(), rfapres(), reaselect(), rfaregion(),  
20 rfaregions(), rfarecurse(), rfarecurses() and rfarulesfile(). They may build an  
optimized internal semantic representation orthogonal to the semantic  
variables that a user may add to parse-tree nodes.

The rule-file analyzer, which analyzes NLP++, is itself defined using  
a subset of the full NLP++ language:

```
#####
# FILE: ANALYZER.SEQ
#####
#
5 tokenize      #
line      #
pat   retok #
pat   bigtok      #
pat   x_white      #
10  pat   nlppp #
pat   un_mark      #
pat   list      #
pat   list1 #
pat   gram1 #
15  rec   gram2 #
pat   preaction      #
pat   gram4 #
rec   gram5 #
pat   action      #
20  pat   pair #
pat   pairs #
pat   element      #
pat   rule #
pat   rules #
25  pat   code #
pat   pres #
pat   checks      #
pat   posts #
pat   tmp #
30  pat   tmp1 #
pat   select      #
pat   region      #
pat   regions      #
pat   recurse #
35  pat   recurses #
pat   rulesfile #
nintern    nil #
gen   nil #
hash   nil #
40  genhash    nil #
```

```

#####
# FILE:      RETOK.PAT
#####

5 # since RFB rules are hashed, don't need sentinel.
#@POST
#    noop()
#@RULES
#_XNIL <- _XWILD [fail=(\\)] @@
10
@POST
    rfname(2)
    single()
@RULES
15 _CLF [base layer=(_LIT )] <- \\ n [ren=\n] @@
    _CCR [base layer=(_LIT )] <- \\ r [ren=\r] @@
    _CHT [base layer=(_LIT )] <- \\ t [ren=\t] @@
    _CLANGLE [base layer=(_LIT )] <- \\ \< @@
20    _CPOUND [base layer=(_LIT )] <- \\ \# @@
    _CDQUOTE [base layer=(_LIT )] <- \\ \" @@
    _CATSIGN [base layer=(_LIT )] <- \\ \@ @@
    _CLPAR [base layer=(_LIT )] <- \\ \(
    _CRPAR [base layer=(_LIT )] <- \\ \) @@
25    _CCOMMA [base layer=(_LIT )] <- \\ \, @@
    _CSEMICOLON [base layer=(_LIT )] <- \\ \; @@
    _CEQUAL [base layer=(_LIT )] <- \\ \= @@
    _CLBRACKET [base layer=(_LIT )] <- \\ \[
    _CRBRACKET [base layer=(_LIT )] <- \\ \] @@
30    _CUNDERSCORE [base layer=(_LIT )] <- \\ \_
    _CDASH [base layer=(_LIT )] <- \\ \-
    _CSPACE [base layer=(_LIT )] <- \\ \  @@
    _CRANGLE [base layer=(_LIT )] <- \\ \> @@
    _CBEL [base layer=(_LIT )] <- \\ a [ren=\a] @@
    _CBS [base layer=(_LIT )] <- \\ b [ren=\b] @@
    _cff [base layer=(_LIT )] <- \\ f [ren=\f] @@
    _cvt [base layer=(_LIT )] <- \\ v [ren=\v] @@
    _csquote [base layer=(_LIT )] <- \\ \' @@
40    _cqmark [base layer=(_LIT )] <- \\ \? @@
    _cbang [base layer=(_LIT )] <- \\ \!
    _cdollar [base layer=(_LIT )] <- \\ \$ @@
    _cpercent [base layer=(_LIT )] <- \\ \% @@
    _campersand [base layer=(_LIT )] <- \\ \& @@
45    _casterisk [base layer=(_LIT )] <- \\ \* @@
    _cplus [base layer=(_LIT )] <- \\ \+ @@

```

```
_CPERIOD [base layer=(_LIT )] <- \\ \. @@  
_CSLASH [base layer=(_LIT )] <- \\ \/ @@  
_CCOLON [base layer=(_LIT )] <- \\ \: @@  
_CCARET [base layer=(_LIT )] <- \\ \^ @@  
5 _CBACKQUOTE [base layer=(_LIT )] <- \\ \` @@  
_CLBRACE [base layer=(_LIT )] <- \\ \{ @@  
_CRBRACE [base layer=(_LIT )] <- \\ \} @@  
_CVBAR [base layer=(_LIT )] <- \\ \| @@  
_CTILDE [base layer=(_LIT )] <- \\ \~ @@  
_CBSLASH [base layer=(_LIT )] <- \\ \\ @@  
  
10  
  
@POST  
    excise(1,1)  
@RULES  
15 _XNIL <- \\r \\n @@
```

```

#####
# FILE:      BIGTOK.PAT
#####

5   @POST
    excise(1, 3)
@RULES
_xNIL <- \# _xWILD \n @@

10  @POST
    excise(1, 2)
@RULES
_xNIL <- \# _xWILD _EOF @@

15  @POST
    rfastr(2)
    single()
@RULES
_STR [base] <- \" _xWILD \" @@

20
#@POST
#    excise(1, 1)
#@RULES
#_xNIL <- \, [plus] @@
25
# EXPRESSION GRAMMAR.

@POST
    rfaop(1,2)
    single()

30  @RULES
    _opAND <- \& \& @@
    _opOR  <- \| \| @@
    _opINC <- \+ \+ @@
    _opDEC <- \- \- @@
35  _opEQ  <- \= \= @@
    _opNEQ <- \! \= @@
    _opGE  <- \> \= @@
    _opLE  <- \< \= @@
    _opCONF <- \% \% @@
40  _opOUT <- \< \< @@
    @RULES
    _ENDRULE [base] <- \@ \@ _xWHITE @@
45  #@POST
#    noop()

```

```

#@RULES
#_XNIL <- _XWILD [min=1 max=1 fail=(\@)] @@

@RULES
5  _ENDRULE [base] <- \@ \@ _XEOF @@ 
 _eoPOST [base layer=(_endMark)] <- \@ \@ POST [t] @@ 
 _eoCHECK [base layer=(_endMark)] <- \@ \@ CHECK [t] @@ 
 _eoPRE [base layer=(_endMark)] <- \@ \@ PRE [t] @@ 
 _eoRULES [base layer=(_endMark)] <- \@ \@ RULES [t] @@ 
10 _eoRECURSE [base layer=(_endMark)] <- \@ \@ RECURSE [t] @@ 
 _eoSELECT [base layer=(_endMark)] <- \@ \@ SELECT [t] @@ 
 _eoNODES [base layer=(_endMark)] <- \@ \@ NODES [t] @@ 
 _eoMULTI [base layer=(_endMark)] <- \@ \@ MULTI [t] @@ 
 _eoPATH [base layer=(_endMark)] <- \@ \@ PATH [t] @@ 
15 _eoCODE [base layer=(_endMark)] <- \@ \@ CODE [t] @@ 
 _soPOST [base layer=(_startMark)] <- \@ POST [t] @@ 
 _soCHECK [base layer=(_startMark)] <- \@ CHECK [t] @@ 
 _soPRE [base layer=(_startMark)] <- \@ PRE [t] @@ 
 _soNODES [base layer=(_startMark)] <- \@ NODES [t] @@ 
20 _soMULTI [base layer=(_startMark)] <- \@ MULTI [t] @@ 
 _soPATH [base layer=(_startMark)] <- \@ PATH [t] @@ 
 _soCODE [base layer=(_startMark)] <- \@ CODE [t] @@ 
 _soSELECT [base layer=(_startMark)] <- \@ SELECT [t] @@ 
 _soRECURSE [base layer=(_startMark)] <- \@ RECURSE [t] @@ 
25

# Separating out rule mark so it can be counted.
# If there are none, then don't need to warn about no rules in pass.

@POST
    rfarulemark()
30    single()

@RULES
    _soRULES [base layer=(_startMark)] <- \@ RULES [t] @@

@POST
35    rfanonlit(2)
        single()

@RULES
    _NONLIT [base] <- \_ _XALPHA @@

40 @RULES
    _ARROW [base] <- \<\> \- @@

@POST
    rfaname(1)
45    single()

@RULES

```

```
# Not setting base for these potential keywords.  
_LIT <- _XWILD [s one match=(  
    N X G P S  
    if else while  
    )] @@  
  
5      _LIT [base] <- _XALPHA @@  
  
@POST  
10     rfanum(1)  
       single()  
@RULES  
_NUM [base] <- _XNUM @@
```

15

```
#####
# FILE: X_WHITE.PAT
#####
@POST
5      excise(1, 1)
@RULES
_xNIL <- \
_xNIL <- \
_xNIL <- \
10
```

```

#####
# FILE: NLPPP.PAT
# SUBJ: Creating regions for parsing NLP++ syntax and others.
# NOTE: Code regions are parsed differently from the rules.
5 #####
@POST
    rfanodes(2, "nodes")
    single()

@RULES
10 _NODES [base] <- _soNODES [s] _NONLIT [star] _eoNODES [s opt] @@
    @POST
        rfanodes(2, "path")
        single()

15 @RULES
    _PATH [base] <- _soPATH [s] _NONLIT [star] _eoPATH [s opt] @@
    @POST
        rfanodes(2, "multi")
20    single()

@RULES
    _MULTI [base] <- _soMULTI [s] _NONLIT [star] _eoMULTI [s opt] @@
    @POST
25    group(2,2, "_NLPPP") # An NLP++ region.
    singler(1,3)

@RULES
    _PRES [base unsealed] <-
        _soPRE [s]
30    _xWILD [fail=(_endMark _startMark)]
        _eoPRE [s opt]
        _xWILD [opt lookahead match=(_endMark _startMark)]
        @@

35 @POST
    group(2,2, "_NLPPP") # An NLP++ region.
    singler(1,3)

@RULES
    _CHECKS [base unsealed] <-
40    _soCHECK [s]
        _xWILD [fail=(_endMark _startMark)]
        _eoCHECK [s opt]
        _xWILD [opt lookahead match=(_endMark _startMark)]
        @@

45 @POST

```

```

group(2,2, "_NLPPP")  # An NLP++ region.
singler(1,3)

@RULES
  _POSTS [base unsealed] <-
5    _SOPOST [s]
    _XWILD [fail=(_endMark _startMark)]
    _eoPOST [s opt]
    _XWILD [opt lookahead match=(_endMark _startMark)]
    @@

10   @POST
    singler(1,3)
    @RULES
      _RULES [base unsealed] <-
15    _SORULES [s]
      _XWILD [fail=(_endMark _startMark)]
      _eoRULES [s opt]
      _XWILD [opt lookahead match=(_endMark _startMark)]
      @@

20   #INI REGION.  FOR RUNNING CODE BEFORE SOMETHING (like @nodes).
    @POST
      group(2,2, "_NLPPP")  # An NLP++ region.
      singler(1,3)

25   @RULES
      _INI [base unsealed] <-
        _soINI [s]
        _XWILD [fail=(_endMark _startMark)]
        _eoINI [s opt]
30      _XWILD [opt lookahead match=(_endMark _startMark)]
      @@

# FIN REGION.  FOR RUNNING CODE AFTER SOMETHING (like @nodes).
@POST
35   group(2,2, "_NLPPP")  # An NLP++ region.
   singler(1,3)
   @RULES
     _FIN [base unsealed] <-
       _soFIN [s]
40     _XWILD [fail=(_endMark _startMark)]
     _eofIN [s opt]
     _XWILD [opt lookahead match=(_endMark _startMark)]
     @@

45   @POST
     group(2,2, "_NLPPP")  # An NLP++ region.

```

```
setbase(2,"true")
singler(1,3)
@RULES
_CODE [base unsealed] <-
5      _soCODE [s]
      _XWILD [fail=(_endMark _startMark)]
      _eoCODE [s opt]
      _XWILD [opt lookahead match=(_endMark _startMark)]
@@
```

10

```
#####
# FILE: UN_MARK.PAT
#####
# Delete empty regions.
5 @NODES _CODE _CHECKS _PRES _POSTS _NODES _PATH _MULTI _RULES

@POST
excise(1,1)
@RULES
10 _XNIL <- _XWILD [one match=(_startMark _endMark)] @@
```

```
#####
# FILE:      LIST.PAT
#####
@PATH _ROOT _RULES
5
@RECURSE listarg

@POST
    rfaarg(1)
10    single()
@RULES
    _ARG [base] <- _NONLIT @@
    _ARG [base] <- _LIT @@
    _ARG [base] <- _STR @@
15    _ARG [base] <- _NUM @@
    @@RECURSE listarg

@POST
20    rfalist(2)
    single()
@RULES
    _LIST [base] <- \( _xWILD [match=(_LIT _NONLIT _STR _NUM) recurse=(listarg)]
    \) @@
25
```

```

#####
# FILE: LIST1.PAT
# SUBJ: For executing in NLP++ regions.
# NOTE: Code-like regions get parsed differently from rule regions.

5 #####
@NODES _NLPPP

@POST
    rfarange(3, 5)
    singler(2,6)

10 @RULES
    _PREPAIR [base] <-
        \;      # Disambiguating context.
        \< _NUM \, _NUM \> @@
15 _PREPAIR [base] <-
        _XSTART      # Disambiguating context.
        \< _NUM \, _NUM \> @@

```

```
#####
# FILE: GRAM1.PAT
# SUBJ: NLP++ sentence and expression grammar.
# NOTE: RECURSIVE PASS.
5 #####
@NODES _NLPPP

@RULES
10 # NLP++ KEYWORDS.
    _IF [base] <- if [s] @@
    _ELSE [base] <- else [s] @@
    _WHILE [base] <- while [s] @@
15 # Binary ops.
@POST
    movesem(1)
    single()
@RULES
20 _OP <- _xWILD [s one match=( _opAND _opOR
    _opEQ _opNEQ _opGE _opLE
    _opCONF _opOUT      )]
    @@
25
```

```

#####
# FILE: GRAM2.PAT
# SUBJ: NLP++ code syntax.
# NOTE: RECURSIVE PASS.
5 #####
@NODES _NLPPP

# Catch the start of a function call here, so it won't be grabbed by
# expression grammar.
10 @POST
    fncallstart()
    single()
@RULES
    _VARLIST [base] <-
15    _XWILD [s one match=( S G N X P ) layer=(_VARNAME)]
        \C @@
    @POST
        fncallstart()
20    single()
@RULES
    _FNCALLLIST [base] <- _LIT [layer=(_FNNAME)] \C @@
    @POST
25    movesem(2)      # Move expr semantic object up the tree.
    single()
@RULES
    _EXPR <- \C _XWILD [s one match=( _EXPR _NUM _STR )] \C @@
30    @POST
        rfaexpr(1,2,3)
        singler(1,3)
@RULES
35    _EXPR <-
        _XWILD [s one match=( _EXPR _NUM _STR )]
        _XWILD [s t one match=( \* \/ \% _opCONF )]
        _XWILD [s one match=( _EXPR _NUM _STR )]
        _XWILD [s one fail=( _opINC _opDEC)]
40    @@

# Handling precedence. That's why these rules look funny.
@POST
    rfaexpr(1,2,3)
45    singler(1,3)
@RULES

```

```

_EXPR <-
  _XWILD [s one match=(_EXPR _NUM _STR )]
  _XWILD [s t one match=( \+ \- )]
  _XWILD [s one match=(_EXPR _NUM _STR )]
5   _XWILD [s one match=( _xANY _xEND _xEOF ) except=( \V \* \% \%
               _opCONF _opINC _opDEC )]
    @@
@POST
10  rfaexpr(1,2,3)
    singler(1,3)
@RULES
_EXPR <-
  _XWILD [s one match=(_EXPR _NUM _STR )]
15  _XWILD [s t one match=( \< \> _opLE _opGE _opEQ _opNEQ )]
  _XWILD [s one match=(_EXPR _NUM _STR )]
  _XWILD [s one match=( _xANY _xEND _xEOF ) except=( \V \* \% \+ \-
               _opCONF _opINC _opDEC )]
    @@
20
@POST
  rfaexpr(1,2,3)
  singler(1,3)
@RULES
25  _EXPR <-
  _XWILD [s one match=(_EXPR _NUM _STR )]
  _XWILD [s t one match=( _opAND _opOR )]
  _XWILD [s one match=(_EXPR _NUM _STR )]
  _XWILD [s one match=( _xANY _xEND _xEOF )
30      except=( \V \* \% \+ \- \< \> _opLE _opGE _opEQ _opNEQ
               _opCONF _opINC _opDEC )]
    @@
# Making assignment into an expr.
35 # LOWEST PRECEDENCE of any operator except output op (<<).

_EXPR <-
  _VAR [s]
  \= [s]
40  _XWILD [s one match=(_EXPR _NUM _STR )]
  _XWILD [s one match=( _xANY _xEND _xEOF )
          except=( \V \* \% \+ \- \< \> _opLE _opGE _opEQ _opNEQ
                  _opAND _opOR
                  _opCONF
45      \=           # To associate right to left.
                  _opINC _opDEC )]

```

```

@@

# Output operator.
# LOWEST PRECEDENCE of any operator.
5
_EXPR <-
    _XWILD [s one match=(_STR _EXPR)]
    _opOUT [s]
    _XWILD [s one match=( _EXPR _NUM _STR )]
10   _XWILD [s one match=( _XANY _XEND _XEOF )]
        except=( \v \* \% \+ \- \< \> _opLE _opGE _opEQ _opNEQ
                  _opAND _opOR
                  _opCONF
                  \=
15     _opINC _opDEC )]
@@

@POST
    rfaunary(1,2)
20   singler(1,2)
@RULES
# Unary operators.

# Highest precedence, apart from post operators.
25   _EXPR <- _XWILD [s one match=( _opINC _opDEC )]
        _VAR [s]
        _XWILD [s one match=( _XANY _XEND _XEOF) except=( _opINC _opDEC )]
@@

30   _EXPR <- \! [s]
        _XWILD [s one match=( _EXPR _NUM _STR )]
        _XWILD [s one match=( _XANY _XEND _XEOF) except=( _opINC _opDEC )]
@@

35   # Highest precedence operators.
@POST
    rfapostunary(1,2)
    single()
@RULES
40   _EXPR <-
        _VAR [s]
        _XWILD [s one match=( _opINC _opDEC )]
@@

45   # Post unary ops have precedence.
@POST

```

```
rfaunary(2,3)
singler(2,3)

@RULES
# only do this if you're at the start of something or there's an
5 # operator to the left.

_EXPR <-
    _XWILD [s one match=( _xSTART \< \> \+ \- \* \/ \% \! \=
        _opINC _opDEC _opLE _opGE _opEQ _opNE _opAND _opOR
        _opCONF
        _opOUT
        )]
    _XWILD [s t one match=( \- \+ )]
    _XWILD [s one match=( _EXPR _NUM )]
    _XWILD [s one match=( _XANY _XEND _XEOF )
15
        except=( _opINC _opDEC)]
@@
20
```

```

# GENERALIZED FUNCTION CALL GRAMMAR.
# -----
# LIST GRAMMAR.
# FUNCTION CALL GRAMMAR.

5  @POST
    addarg(1,3)
    listadd(1,3)
@RULES

10 _VARLIST <- _VARLIST
    \, [opt]
    _XWILD [one match=(_EXPR _NUM _STR)]
    _XWILD [one match=( \, \) )]          # lookahead.
    @@
15 @POST
    addarg(1,3)
    listadd(1,3)
@RULES

20 _XNIL <- _FNCALLLIST
    \, [opt]
    _XWILD [one match=(_EXPR _NUM _STR)]
    _XWILD [one match=( \, \) )]          # lookahead.
    @@
25 @POST
    varfn()
    single()
@RULES

30 _VAR [layer=(_EXPR)] <- _VARLIST \) @@
    @POST
        movesem(1)
        single()
35 @RULES
    _FNCALL [layer=(_EXPR)] <- _FNCALLLIST \) @@

```

```
#####
# FILE: PREACTION.PAT
#####
@NODES _NLPPP
5
@POST
    preaction()
    single()
@RULES
10 _ACTION [base] <- _PREPAIR _FNCALL [s] \; [s opt] @@
```

```

#####
# FILE: GRAM4.PAT
# SUBJ: NLP++ syntax.
# NOTE: RECURSIVE PASS.
5 #####
@NODES _NLPPP

@POST
    movesem(2)
10   single()
@RULES

_IFPART <- _IF _XWILD [s one match=( _EXPR _NUM _STR )] @@

15 # Simple statements.
@POST
#     movesem(1)
    makestmt(1)
    single()
20 @RULES
_STMT <- _XWILD [s one match=( _EXPR _NUM _STR )] \; [s] @@

# EMPTY STATEMENT.
@RULES
25 _STMT <- \; [s] @@

```

```

#####
# FILE: GRAM5.PAT
# SUBJ: NLP++ syntax.
# NOTE: RECURSIVE PASS.
5 #####
@NODES _NLPPP

@POST
10    makesstmt(1)
        single()
@RULES

# NEED THE BASE, OR GRAMMAR INFINITE LOOP!
15    _STMTS [base] <- _XWILD [s one match=(_STMT _EXPR
        _BLOCK
        )] @@
@POST
20    addstmt(1, 2)
        single()
@RULES
25    _STMTS [base] <- _STMTS _XWILD [s one match=(_STMT _EXPR
        _BLOCK
        )] @@
@POST
30    movesem(2)
        single()
@RULES
35    _BLOCK <- \{ [s] _STMTS \} [s] @@
# EMPTY BLOCK.
@RULES
40    _BLOCK <- \{ [s] \} [s] @@
@POST
45    ifstmt(1, 2)
        single()
@RULES
        _IFSTMT <-
            _IFPART
            _XWILD [s one match=(_BLOCK _STMT _EXPR)]
            @@
# WHILE STATEMENT

```

```

@POST
    movesem(2)
    single()
@RULES
5   _WHILECOND <- _WHILE _EXPR @@
                                # Should make sure expr is parenthesized.

@POST
    whilestmt(1, 2)
10  single()
@RULES

15  _STMT <- _WHILECOND      _XWILD [s one match=(_BLOCK _STMT)]
    @@
@POST
#    movesem(2)
#    makestmt(2)
    single()
20 @RULES
    _ELSEPART <-
        _ELSE
        _XWILD [s one match=(_BLOCK _STMT _EXPR)]
        @@
25 @POST
    ifelse(1, 2)
    single()
@RULES
30 _STMT <-
    _IFSTM _ELSEPART
    @@
@POST
35   movesem(1)
   singler(1, 1)
@RULES
    _STMT [base] <- _IFSTM
    _XWILD [s one match=(_XANY _XEND _XEOF ) except=(_ELSE )]
40   @@

```

```
#####
# FILE: ACTION.PAT
#####
@NODES _NLPPP
5
@POST
  setbase(1,"true")
@RULES
_XNIL <- _STMTS [s] @@
10
```

```

#####
# FILE:      PAIR.PAT
#####
@PATH _ROOT _RULES
5
@RECURSE listarg

@POST
    rfaarg(1)
10   single()
@RULES
    _ARG [base] <- _NONLIT @@
    _ARG [base] <- _LIT @@
    _ARG [base] <- _STR @@
15   _ARG [base] <- _NUM @@
    @@RECURSE listarg

@RECURSE argtolist
20
@POST
    rfaargtolist(1)
    single()
@RULES
25   _LIST <- _ARG @@
    @@RECURSE argtolist

@POST
30   rfapair(1, 3)
    single()
@RULES
    _PAIR [base] <- _LIT \= [trig] _xWILD [min=1 max=1 match=(_LIT _NONLIT
        _STR _NUM _LIST) recurse=(listarg argtolist)] @@
35

```

```
#####
# FILE:      PAIRS.PAT
#####
@PATH _ROOT _RULES
5
@RECURSE littopair

@POST
    rfalittopair(1)
10    single()
@RULES
_PAIR <- _LIT @@
@@RECURSE littopair
15
@POST
    rfapairs(2)
    single()
@RULES
20 _PAIRS [base] <- \[ _xWILD [match=(_LIT _PAIR \*) recurse=(littopair)] \]
@@
```

```
#####
# FILE: ELEMENT.PAT
#####
@PATH _ROOT _RULES
5
@POST
    rfaelement(1, 2)
    single()
@RULES
10 _ELEMENT [base] <- _NONLIT _PAIRS @@
    _ELEMENT [base] <- _LIT _PAIRS @@
    _ELEMENT [base] <- _NUM _PAIRS @@
```

```

#####
# FILE:      RULE.PAT
#####
@PATH _ROOT _RULES
5
@RECURSE rulelt

@POST
    rfanonlitlt(1)
10    single()
@RULES
_ELEMENT [base] <- _NONLIT @@
20
@POST
    rfalitel(1)
    single()
@RULES
_ELEMENT [base] <- _LIT @@
_ELEMENT [base] <- _NUM @@
25 @POST
    rfasugg(1)
    single()
@RULES
_SUGG <- _ELEMENT @@
30
@@RECURSE sugg

@RECURSE elt

35 @POST
    rfaelt(1)
    single()
@RULES
_ELT <- _ELEMENT @@
40
@@RECURSE elt

@RECURSE rulelts

45 @POST
    rfarulelts(1)

```

```
single()
@RULES
_PHRASE [base] <- _ELT [plus] @@
5 @@RECURSE ruleelts

@POST
    rfarule(1, 3)
    single()
10 @RULES
    _RULE [base] <-
        _XWILD [one match=(_NONLIT _ELEMENT _LIT) recurse=(ruleelt sugg)]
        _ARROW [trig]
        _XWILD [recurse=(ruleelt elt ruleelts) fail=(_ENDRULE _ARROW)]
15 _ENDRULE
    @@
```

```
#####
# FILE:      RULES.PAT
#####
@PATH _ROOT _RULES
5
@POST
    rfarules(1)
    single()
@RULES
10 _RULES [base] <- _RULE [plus trig] @@
```

```
#####
# FILE:      CODE.PAT
#####
@PATH _ROOT _CODE _NLPPP
5
@POST
    rfaactions(1)
    single()
@RULES
10 _CODE [base] <- _STMTS [plus] @@
```

```
#####
# FILE: PRES.PAT
#####
@PATH _ROOT _PRES _NLPPP
5
@POST
    rfapres(1)
    single()
@RULES
10 _PRES [base] <- _ACTION [plus] @@
```

```
#####
# FILE: CHECKS.PAT
#####
@PATH _ROOT _CHECKS _NLPPP
5
@POST
    rfaactions(1)
    single()
@RULES
10 _CHECKS [base] <- _STMTS [plus] @@
```

```
#####
# FILE: POSTS.PAT
#####
@PATH _ROOT _POSTS _NLPPP
5
@POST
    rfaactions(1)
    single()
@RULES
10 _POSTS [base] <- _STMTS [plus] @@
```

```
#####
# FILE: TMP.PAT
# SUBJ: Delete the holding rules nodes.
#####
5 @NODES _ROOT

@POST
  splice(1,1)
@RULES
10
  _XNIL <- _RULES @@
  _XNIL <- _CODE @@
  _XNIL <- _PRES @@
  _XNIL <- _CHECKS @@
15  _XNIL <- _POSTS @@
```

```
#####
# FILE: TMP1.PAT
# SUBJ: Splice the NLPPP container.
#####
5 @NODES _ROOT

@POST
  splice(1,1)
@RULES
10
_XNIL <- _NLPPP @@
```

```
#####
# FILE:      SELECT.PAT
#####
@POST
5      rfaselect(2)
       single()
@RULES
_SELECT [base] <- _soSELECT [opt] _NODES _eoSELECT [opt] @@
_SELECT [base] <- _soSELECT [opt] _MULTI _eoSELECT [opt] @@
10     _SELECT [base] <- _soSELECT [opt] _PATH _eoSELECT [opt] @@
```

```
#####
# FILE:      REGION.PAT
#####
@POST
5      rfaregion(1, 2, 3, 4)
      single()
@RULES
_REGION [base] <- _PRES [opt] _CHECKS [opt] _POSTS [opt] _RULES @@
```

10

```
#####
# FILE:      REGIONS.PAT
#####
@POST
5      rfaregions(1)
      single()
@RULES
_REGIONS [base] <- _REGION [plus] @@
```

10

DRAFT COPY SECURED BY CONTRACT - NOT FOR DISTRIB.

```
#####
# FILE:      RECURSE.PAT
#####
@POST
5      rfarecurse(2, 3, 5)
      single()
@RULES
_RECURSE [base] <- _sORECURSE [s] _LIT _REGIONS [opt] _eoRECURSE [s] _LIT
[opt] @@
10
```

```
#####
# FILE:      RECURSES.PAT
#####
@POST
5      rfarecurses(1)
      single()
@RULES
_RECURSES [base] <- _RECURSE [plus] @@
```

10

FBI - Federal Bureau of Investigation - Quantico, Virginia

```
#####
# FILE:      RULESFILE.PAT
#####
@POST
5      rfarulesfile(1, 2, 3, 4)
      single()
@RULES
# ALLOWING EMPTY RULE REGION IF THERE IS A CODE REGION.
_RULESFILE [base] <- _CODE _SELECT [opt] _RECURSES [opt] _REGIONS [opt] @@
10     _RULESFILE [base] <- _CODE [opt] _SELECT [opt] _RECURSES [opt] _REGIONS @@
```

## APPENDIX III:

### A BNF GRAMMAR FOR AN INSTANTIATION OF NLP++

This appendix specifies a syntax for an embodiment of NLP++. The syntax is given in extended Backus-Naur form:

```

<_RULESFILE> ::= [ <_CODE> ] [ <_SELECT> ] [ <_RECURSES> ] [ <_REGIONS> ]
<_RECURSES> ::= { <_RECURSE> }
<_RECURSE> ::= <_SORECURSE> <_LIT> [ <_REGIONS> ] <_eORECURSE> [ <_LIT> ]
10 <_REGIONS> ::= { <_REGION> }
<_REGION> ::= [ <_PRES> ] [ <_CHECKS> ] [ <_POSTS> ] [ <_RULES> ]
<_SELECT> ::= [ <_SOSELECT> ] { <_NODES> | <_MULTI> | <_PATH> } [ <_eoSELECT> ]
<_CODE> ::= <_SOCODE> { <_STMTS> } [ <_eoCODE> ]
<_PRES> ::= <_SOPRE> { <_ACTION> } [ <_eoPRE> ]
15 <_CHECKS> ::= <_soCHECK> { <_STMTS> } [ <_eoCHECK> ]
<_POSTS> ::= <_soPOST> { <_STMTS> } [ <_eoPOST> ]
<_RULES> ::= <_sORULES> { <_RULE> } [ <_eoRULES> ]

<_RULE> ::= <_SUGG> <_ARROW> <_PHRASE> <_ENDRULE>
20 <_SUGG> ::= <_ELT>
<_PHRASE> ::= { <_ELT> }
<_ELT> ::= <_ELEMENT> | <_TOKEN>
<_ELEMENT> ::= <_TOKEN> <_PAIRS>
<_TOKEN> ::= <_NONLIT> | <_LIT> | <_NUM>
25 <_PAIRS> ::= "[" { <_LIT> | <_PAIR> } "]"
<_PAIR> ::= <_LIT> "=" <_VAL>
<_VAL> ::= <_LIT> | <_NONLIT> | <_STR> | <_NUM> | <_LIST>
<_LIST> ::= "(" { <_ARG> } ")"
<_ARG> ::= <_LIT> | <_NONLIT> | <_STR> | <_NUM>
30
<_STMTS> ::= { <_STMT> | <_EXPR> | <_BLOCK> }
<_BLOCK> ::= "{" <_STMTS> "}"

<_STMT> ::= <_IFSTM> [ <_ELSEPART> ]
35 <_IFSTM> ::= <_IFPART> ( <_BLOCK> | <_STMT> | <_EXPR> )
<_IFPART> ::= <_IF> ( <_EXPR> | <_NUM> | <_FLOAT> | <_STR> )
<_ELSEPART> ::= <_ELSE> ( <_BLOCK> | <_STMT> | <_EXPR> )

```

```

<_STMT>      ::= <_WHILECOND> ( <_BLOCK> | <_STMT> | <_EXPR> ) ;"
<_WHILECOND> ::= <_WHILE> <_EXPR>

<_STMT>      ::= ( <_EXPR> | <_NUM> | <_FLOAT> | <_STR> )
5

<_ACTION>    ::= <_PREPAIR> <_FNCALL> ;"
<_EXPR>       ::= <_FNCALL> | <_VAR>
<_FNCALL>    ::= [ <_SCOPE> ] <_LIT> "(" [ <_FNARGLIST> ] ")"
<_SCOPE>     ::= <_LIT> ":" ":" 

10 <_FNARGLIST> ::= <_FNARG> { "," <_FNARG> }
<_FNARG>     ::= <_EXPR> | <_NUM> | <_FLOAT> | <_STR>
<_VAR>        ::= ( "S" | "G" | "N" | "X") "(" [ <_FNARGLIST> ] ")"

<_EXPR>       ::= "(" <_FNARG> ")"
15 <_EXPR>     ::= <_FNARG>
                  ( "*" | "/" | "%" | <_opCONF> | "+" | "-" | "<" | ">"
                   | <_opLE> | <_opGE> | <_opEQ> | <_opNEQ> | <_opAND> | <_opOR> )
                  <_FNARG>

20 <_EXPR>     ::= <_VAR> "=" <_FNARG>
<_EXPR>       ::= ( <_STR> | <_EXPR> ) <_opOUT> <_FNARG>
<_EXPR>       ::= ( <_opINC> | <_opDEC> ) <_VAR>
<_EXPR>       ::= "!" <_FNARG>
<_EXPR>       ::= <_VAR> ( <_opINC> | <_opDEC> )

25 <_EXPR>     ::= ( "-" | "+" ) ( <_EXPR> | <_NUM> | <_FLOAT> )

<_IF>         ::= "if"
<_ELSE>       ::= "else"
<_WHILE>      ::= "while"
30

<_PREPAIR>   ::= "<" <_NUM> "," <_NUM> ">"

<_NODES>      ::= <_soNODES> { <_NONLIT> } [ <_eoNODES> ]
<_PATH>        ::= <_soPATH> { <_NONLIT> } [ <_eoPATH> ]
35 <_MULTI>    ::= <_soMULTI> { <_NONLIT> } [ <_eoMULTI> ]

<_opAND>      ::= "&" "&"
<_opOR>        ::= "|" "|"

```

```

<_opINC>      ::= "+" "+"
<_opDEC>      ::= "-" "-"
<_opEQ>       ::= "=" "="
<_opNEQ>      ::= "!" "="
5 <_opGE>       ::= ">" "="
<_opLE>       ::= "<" "="
<_opCONF>     ::= "%" "%"
<_opOUT>      ::= "<" "<"

10 <_ENDRULE>   ::= "@" "@"

<_eoPOST>     ::= "@" "@" "POST"
<_eoCHECK>    ::= "@" "@" "CHECK"
<_eoPRE>      ::= "@" "@" "PRE"
15 <_eoRULES>   ::= "@" "@" "RULES"
<_eORECURSE>  ::= "@" "@" "RECURSE"
<_eoSELECT>   ::= "@" "@" "SELECT"
<_eoNODES>    ::= "@" "@" "NODES"
<_eoMULTI>    ::= "@" "@" "MULTI"
20 <_eoPATH>    ::= "@" "@" "PATH"
<_eocode>     ::= "@" "@" "CODE"

<_soPOST>     ::= "@" "POST"
<_soCHECK>    ::= "@" "CHECK"
25 <_sopre>     ::= "@" "PRE"
<_sorULES>    ::= "@" "RULES"
<_soreCURSE>  ::= "@" "RECURSE"
<_soSELECT>   ::= "@" "SELECT"
<_sonODES>    ::= "@" "NODES"
30 <_soMULTI>   ::= "@" "MULTI"
<_soPATH>     ::= "@" "PATH"
<_socODE>     ::= "@" "CODE"

<_NONLIT>     ::= "_" <_XALPHA>
35 <_LIT>        ::= <_XALPHA>
<_LIT>         ::= "\\" <_XPUNCT>
<_FLOAT>       ::= <_XNUM> "." [ <_XNUM>
<_NUM>          ::= <_XNUM>

```

```
<_ARROW>      ::= "<" "-"  
  
<_STR> is a string token.  
<_XALPHA> is an alphabetic token.  
5<_XNUM> is an integer token.  
<_XPUNCT> is a punctuation character token.
```

## APPENDIX IV: THE CONFIDENCE OPERATOR ACCORDING TO ONE EMBODIMENT

The confidence operator combines confidence values while never exceeding 100% confidence. The confidence operator provides a way to accumulate evidence for competing hypotheses.

Mathematical functions are available or may be with the properties that infinite evidence (or some maximal quantity) equates to 100% confidence and that no evidence equates to a 0% confidence. In one embodiment:

10

$$P = 100 * (1 - 1/(1 + E)) \quad (1)$$

$$E = P(100 - P) \quad (2)$$

where P is the percentage of confidence ad E is a fabricated evidence metric  
15that ranges from 0 to infinity.

Say, the suffice “-ence” gives a 70% confidence level that a word is a noun. Say, also, that if a word appears immediately following the word “a,” there is an 85% confidence that the word is a noun. Then the accumulated confidence is 70 % 85, some number greater than 85 and less than 100.

20

The probability of a noun, based on the suffix, is 70%. Equation (2) gives  $E_1$ , the evidence from the suffix, as 2.33. The probability of a noun, based on the preceding article “a,” is 85%. Equation (2) gives  $E_2$ , the evidence from the article, as 5.66. E, the total evidence, is the sum of  $E_1$  and  $E_2$ . Thus, E is 8.00. Equation (1) gives a probability of 88.9% with evidence E equal to 8.00.

25

$E_1$  may be based on statistical studies, a guess (educated or otherwise), gut feel, etc. The same is true of  $E_2$ . This is a standing problem in statistics. While there are many ways to generate the initial confidence numbers, typically, one starts with initial values and modifies them based on how well those values work in practice.

United States Patent & Trademark Office  
Office of Initial Patent Examination – Scanning Division



Application deficiencies found during scanning:

SCANNED # 8

Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

Pages 40 - 95 of the specification are appendix.

**Scanned copy is best available.** Drawing figures 3 - 12 are dark